

Zpul

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Examiner:
) Group Art Unit: 1761
Dilip K. Nakhasi Roger L. Daniels) CERTIFICATE OF MAILING
Roger H. Daniets) Date of Deposit
STRUCTURED LIPID CONTAINING COMPOSITIONS AND METHODS WITH HEALTH AND NUTRITION PROMOTING CHARACTERISTICS	I hereby certify that this paper or fee is being deposited with the United States Postal Service on the date indicated above and is addressed to: Mail Stop Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450 Vida T. Kampstra
Serial No.: 10/795,843 Filed: March 8, 2004	(Typed or Printed Marine of Person Mailing Paper or Fee)) Signature of Person Mailing Paper or Fee)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references listed on the attached PTO form are called to the attention of the U.S. Patent and Trademark Office.

Applicants enclose a copy of each of these references. Included is the International Search Report in PCT/US2004/30663, dated December 22, 2004, and a Written Opinion of the International Searching Authority in PCT/US2004/30663, dated December 22, 2004, which are also enclosed.

This statement is provided in order to comply with 37 C.F.R. § 1.56, § 1.97, and § 1.98, and this statement is not to be construed as a representation that no information exists which is more material than these references, or that the information is considered to be material to patentability.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

Accordingly, it is believed that no additional fee is required for the submission of this Information Disclosure Statement.

Respectfully submitted

Raymond M. Mehler

Registration No. 26,306

COOK, ALEX, MCFARRON, MANZO, CUMMINGS & MEHLER, LTD.
200 West Adams Street
Suite 2850
Chicago, Illinois 60606
(312) 236-8500

Dated: February 10, 2005

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/795,843			
Filing Date	March 8, 2004			
First Named Inventor	Dilip K. Nakhasi			
Art Unit	1761			
Examiner Name				
Attorney Docket Number	0803-0111			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ² (# known)			Figures Appear
		^{US-} 6,117,475	09-12-2000	van Amerongen et al.	
		^{US-} 6,124,486	09-26-2000	Cherwin et al.	
		^{US-} 6,531,463	03-11-2003	Yliruusi et al.	
		^{US-} 6,589,588	07-08-2003	Wester et al.	
		^{US-} 2003/0175404 A1	09-18-2003	Nakhasi et al.	
		US-			
		US-	-		
		US-			
		US- ,			
		US-			

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T
-		WO 98/06405 A1	02-19-1998	Raision Tehtaat Oy AB		
		WO 01/32035 A1	05-10-2001	Raision Benecol Oy		
		WO 01/91587 A2	12-06-2001	Forbes Medi-Tech Inc.		
		WO 02/055639 A1	07-18-2002	Karl-Shamns AB		
						Γ

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/795,843	
INF	ORMATION	DIS	CLOSURE	Filing Date	March 8, 2004	
STATEMENT BY APPLICANT		First Named Inventor	Dilip K. Nakhasi			
<i>a</i> t				Art Unit	1761	
(Use as many sheets as necessary)		Examiner Name				
Sheet	2	of	2	Attorney Docket Number	0803-0111	

		NON PATENT LITERATURE DOCUMENTS	,
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	А	WESTER, "Cholesterol-lowering effect of plant sterols", XP-002216851, EUR. J. LIPID SCI. TECHNOL., 2000, pgs. 37-44.	
	В	PCT Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration; PCT/US2004/030663; Dated December 22, 2004.	
	С	PCT International Search Report; PCT/US2004/030663; Dated December 22, 2004.	
	D	PCT Written Opinion of the International Searching Authority; PCT/US2004/030663; Dated December 22, 2004.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.